# Research on license plate recognition based on graphically supervised signal-assisted training (#108697)

First submission

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# Research on license plate recognition based on graphically supervised signal-assisted training

Dianwei Chi Corresp., 1, Zehao Jia Corresp., 1, Lizhen Liu 2

Corresponding Authors: Dianwei Chi, Zehao Jia

Email address: dianwei.chi@163.com, 19863817078@163.com

**Background.** With the rapid growth of the number of cars and the increasing complexity of urban transportation, it is particularly important to achieve high-accuracy license plate recognition in complex scenarios. However, since license plate recognition models are mostly deployed on embedded devices with limited computational resources, designing a lightweight and accurate model has become an urgent problem in the field of license plate recognition.

**Methods.** In this study, we propose a license plate recognition algorithm that uses LPRNet (License Plate Recognition Network) as the base model and incorporates graphically supervised signals for assisted training, aiming to improve the accuracy of the model by improving its training process, and to realize a lightweight and highly accurate license plate recognition model. The study adds an auxiliary training branch to the conventional training, using graphically supervised signals to guide the model to learn image features.

**Results.** Experiments show that compared with LPRNet, this study improves the accuracy in all test sets of the CCPD dataset, where the average accuracy is improved by 5.86%, the maximum accuracy by 10.9%, the average character precision by 2.1%, and the average recall by 6.9%, indicating that this study can achieve higher accuracy while keeping it lightweight. This study also provides new ideas for other deep learning image recognition tasks.

<sup>&</sup>lt;sup>1</sup> Artificial Intelligence Institute, Yantai Institute of Technology, Yantai, Shandong, China

<sup>&</sup>lt;sup>2</sup> Institute of Microbiology, Jiangxi Academy of Sciences, Nanchang, Jiangxi, China

# Research on license plate recognition based on graphically supervised signal-assisted training

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Dian-Wei Chi<sup>1,\*</sup>, Ze-Hao Jia<sup>1,\*</sup>, Li-Zhen Liu<sup>2</sup>

5 6 7

- <sup>1</sup> School of Artificial Intelligence, Yantai Institute of Technology, Yantai 264003, China
- <sup>2</sup> Institute of Microbiology, Jiangxi Academy of Sciences, Nanchang 330096, China

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- 10 Corresponding Author:
- 11 Dian-Wei Chi<sup>1</sup> and Ze-Hao Jia<sup>1</sup>
- 12 Street Address, City, State/Province, Zip code, Country
- 13 Email address: dianwei.chi@163.com and 19863817078@163.com

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#### **Abstract**

- 16 **Background.** With the rapid growth of the number of cars and the increasing complexity of
- 17 urban transportation, it is particularly important to achieve high-accuracy license plate
- 18 recognition in complex scenarios. However, since license plate recognition models are mostly
- 19 deployed on embedded devices with limited computational resources, designing a lightweight
- and accurate model has become an urgent problem in the field of license plate recognition.
- 21 Methods. In this study, we propose a license plate recognition algorithm that uses LPRNet
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- signals for assisted training, aiming to improve the accuracy of the model by improving its
- 24 training process, and to realize a lightweight and highly accurate license plate recognition model.
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- supervised signals to guide the model to learn image features.
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- 28 test sets of the CCPD dataset, where the average accuracy is improved by 5.86%, the maximum
- accuracy by 10.9%, the average character precision by 2.1%, and the average recall by 6.9%,
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- 31 also provides new ideas for other deep learning image recognition tasks.
- 32 **Keywords:** deep learning; supervised signaling; assisted training; license plate recognition

#### 33 Introduction

- With the rapid growth of the number of cars and the increasing complexity of urban
- 35 transportation, vehicle management and traffic monitoring are particularly important. Thanks to
- 36 the development of the Internet and deep learning technology, smart transportation has become a
- 37 major trend in vehicle management and traffic monitoring (Wu, Wu and Wang, 2019; Yang, Xie
- and Hu, 2024). As a key link in the intelligent transportation system, license plate recognition

- 39 technology is of great significance for the realization of vehicle violation monitoring, parking lot
- management, traffic congestion analysis, etc. 40
- Deep learning methods are highly regarded for their powerful feature learning abilities and 41
- efficient modeling of complex data. With deep learning models, the system can automatically 42
- 43 learn the character features on the license plate from a large amount of data and can accurately
- recognize them under different lighting, angles, and even partial occlusion. Compared with 44
- traditional methods, deep learning methods show higher robustness and accuracy in the field of 45
- license plate recognition and can effectively cope with the challenges in complex environments. 46
- At the same time, most of the application scenarios for license plate recognition are embedded 47
- devices with limited computational resources, which requires the deep learning model to be 48
- lightweight while improving performance. 49
- For research on deep learning license plate recognition, the traditional approach to license plate 50
- recognition is usually performed in a two-stage process: first character segmentation of the 51
- 52 license plate, followed by recognition of individual characters. For example, Zhang et al. (2018)
- 53 used a morphological algorithm to locate the license plate region and segmented the license plate
- 54 characters using a vertical projection-based method. Khan et al. (2018) combined the features of
- the image to segment the characters and used a support vector machine to classify the characters. 55
- 56 Raza M et al. (2020) used color space with a vertical projection method for license plate
- recognition and an improved deep learning model for single character recognition, which 57
- improved the accuracy, but the recognition results were still limited by the character 58
- segmentation results. This way of doing character segmentation first and then single-character 59
- recognition not only has low accuracy but also low detection efficiency. 60
- 61 Subsequently, a series of tasks for license plate recognition via neural networks emerged. Shi et
- al. (2016) proposed the CRNN model, which solves the problem of end-to-end license plate 62
- recognition by considering the problem such as license plate recognition as a multi-input and 63
- multi-output image sequence recognition problem. Zherzdev and Gruzdev (2018) proposed the 64
- 65 LPRNet model, which used purely convolutional operations for the first time to recognize license
- 66 plates.
- 67 In recent years, in order to improve the adaptability of the recognition model for complex scenes,
- some researchers have proposed a license plate recognition model that incorporates denoising 68
- 69 structures. For example, the license plate recognition system designed by Rao Wenjun et al.
- (2021) achieved better license plate detection and recognition accuracy by improving the CNN 70
- 71 detection network, STN, and bidirectional recurrent neural network (BRNN). Pirgazi J et al.
- 72 (2018) combined convolutional neural network with LSTM to achieve end-to-end license plate
- recognition but with low computational efficiency. Caizhen Zhang et al. (2021) changed the 73
- 74 standard CNN in CRNN to a micro-modified model of a depth-separable convolutional network
- 75 and used a bidirectional long- and short-term memory network for RNN to improve the
- recognition accuracy of the model. Xiangpeng Li et al. (2019) proposed an enhanced 76
- convolutional neural network model, AlexNet-L, which is an end-to-end network model for 77
- 78 license plate character recognition, to improve the accuracy of license plate recognition. Wang

79 and Chen (2024) proposed a special license plate recognition algorithm that uses deep residual networks and attention mechanisms to optimize the model structure and improve recognition 80 accuracy. Kim et al. (2024) proposed a new license plate recognition method, AFA-Net, which 81 achieves clarity restoration and significantly improves the recognition accuracy of feature 82 83 attention networks for low-resolution and motion blurred images. Xu F. et al. (2024) proposed a CRNN-based method for ship license plate image recognition, improved the CRNN model 84 through image enhancement and data enhancement, and achieved an accuracy of 93% on a wide 85 range of image datasets. Lee et al. (2019) proposed an algorithm called SNIDER for license plate 86 recognition from low-quality images, which integrates denoising and rectification tasks through a 87 88 training network framework, effectively improving the model's performance. Zhang, Liu and Ma (2018) combined license plate super-resolution and recognition in an end-to-end framework to 89 improve the accuracy and adaptability of the model. Min et al. (2020) proposed the Fast-SRGAN 90 91 model, which uses super-resolution to remove the noise present in the image and improve the 92 final performance. Xu H. et al. (2021) proposed a 3D perspective transform-based License Plate Correction Algorithm APAN, which improves recognition accuracy through a GRU structure 93 based on a 2D attention mechanism. Ceng G. and Ke X. (2021) introduced Vision Transformer 94 into the study of license plate recognition, which suppresses noise and improves accuracy 95 through self-attention mechanisms. In addition, some studies improve the model's adaptability in 96 97 complex situations through data augmentation. For example, Yang, Wang and Jiang (2024) utilized a haze enhancement algorithm to improve the recognition accuracy of the model in haze 98 scenarios. Zhang et al. (2020) proposed a CycleGAN model for generating license plate images 99 and a license plate recognizer based on an Xception-based CNN encoder with a 2D attention 100 101 mechanism, which experimentally proved to have good performance in a variety of environments. Jin et al. (2021) applied a dark channel a priori algorithm to preliminary dehaze 102 fuzzy images and realized image super-resolution by a super-resolution convolutional neural 103 network to improve the accuracy in hazy scenes. Zhu S et al. (2024) improved the YOLOv5 104 105 algorithm to improve the accuracy of license plate localization but did not recognize license plate characters. 106 In summary, current deep learning models have achieved significant performance improvements 107 on license plate recognition tasks, but these improvements often come at the cost of increasing 108 109 the number of parameters and computational complexity of the model. This approach not only increases the storage and running cost of the model, but also becomes impractical to deploy on 110 resource-constrained embedded devices. Although some studies have proposed lighter model 111 structure designs, such as LPRNet and CRNN, which are able to be deployed in embedded 112 devices, the insufficient learning ability and generalization of the overly lightweight models 113 114 result in lower accuracy rates. Therefore, how to further improve the accuracy of models while keeping them lightweight has 115 become an urgent problem in the field of license plate recognition. This study focuses on 116 117 optimizing the training process of existing lightweight models to improve their recognition 118 accuracy and generalization ability.

- In this paper, we propose a research on license plate recognition based on graphical supervised
- signal assisted training, by introducing the labeling of image modality and auxiliary training
- branch to assist the training of the model, the auxiliary training branch only plays a role in the
- training phase, and the relevant structure of the auxiliary training branch will be removed during
- the testing phase, in order to ensure that the model is lightweight. This research effectively
- improves the accuracy of the lightweight model.
- 125 The contributions of this paper are as follows:
- 126 1. The supervisory signals carried in text information are explored and investigated to mine a
- 127 new graphical supervisory signal.
- 128 2. The graphical supervisory signal carried in the text is applied to the auxiliary training of the
- 129 license plate recognition neural network, which is effective.
- 130 3. Discusses the universality and advantages of graphical supervised signal-assisted training,
- providing more possibilities for it in the field of deep learning.
- 132 The remainder of the article is organized as follows. Section 2 briefly introduces the related work.
- 133 Section 3 describes the design flow of the methodology of this paper. Section 4 evaluates the
- methodology of this paper through experiments. Section 5 concludes the paper and outlines
- 135 future research directions.

#### Related work

#### CTC Loss

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137

- 139 In the field of license plate recognition, CTC (Connectionist Temporal Classification) Loss is
- widely used to solve the no-alignment supervised problem and is the key to achieve the end-to-
- end license plate recognition problem. Character sequences in license plate recognition tasks
- often have variable lengths and complex alignments, especially in the absence of character level
- annotations, which makes it difficult to apply traditional sequence classification methods. CTC
- aims to solve the character recognition and alignment problem by modeling the inconsistency in
- the lengths of the input and output sequences.
- Suppose the input sequence of CTC is  $X = (x_1, x_2, ..., x_T)$ , where T is the CTC input length. the
- input of CTC is the output of the license plate recognition model, which is a fixed length.
- Suppose the output sequence of CTC is  $Y = (y_1, y_2, ..., y_1)$ , where L is the CTC output length and
- 149 L 

  T. The purpose of CTC is to find a mapping from X to Y. CTC generates alignment paths
- by 1. inserting a blank character Ø, at each position in the sequence of output characters to
- indicate that no character is output at a certain time step. 2. allowing the neighboring output
- characters to remain the same to indicate continuous output of characters. With these operations,
- 153 CTC can align fixed-length input sequences with shorter and variable-length output sequences.
- 154 The output of CTC is actually a probability distribution of a series of labeled sequences, each of
- which can generate different alignment paths by inserting blank characters and repeating
- characters. The final output is the probability sum of all possible paths.
- 157 The goal of CTC Loss is to maximize the total probability of an alignment path for a sequence Y
- of correct characters. Let B denote the function that maps alignment paths to output sequences

and P(Z|X) denote the probability of generating alignment path Z given input X, The CTC Loss
 can be expressed as:

161 
$$P(Y \mid X) = \sum_{Z \in B^{-1}(Y)} P(Z \mid X)$$
 (1)

- Where,  $B^{-1}(Y)$  denotes the set of all alignment paths that can be mapped to the output sequence
- 163 Y. To compute this probability, CTC computes the probability of each aligned path and sums it
- by means of dynamic programming.
- After calculating the probabilities of all possible paths, the final form of the CTC Loss is the
- 166 negative log-likelihood function:

167 
$$CTC Loss = -logP(Y \mid X)$$
 (2)

- 168 The advantage of CTC Loss is that sequence alignment can be performed directly in the training
- phase without additional alignment labels. It can effectively deal with sequence alignment
- 170 problems and can automatically learn the alignment corresponding to the target sequence during
- the training process. In license plate recognition, CTC Loss can be used to train neural network
- models to improve the accuracy of license plate recognition by optimizing CTC Loss. By
- introducing CTC Loss, the license plate recognition model can learn the position of the
- 174 characters and the alignment relationship between the characters, thus improving recognition
- 175 accuracy.
- 176 CTC Loss is the key to achieve end-to-end license plate recognition and is the main loss function
- in the license plate recognition process. In this paper, we optimize the output of CTC Loss by
- 178 introducing auxiliary training to assist CTC Loss for training.

#### 179 **LPRNet**

- 180 Conventional sequence recognition problems are usually implemented using RNN neural
- networks, but the operation of license plate recognition using RNNs is not very efficient due to
- the specificity of the RNN structure. LPRNet is one of the widely used models in the field of
- license plate recognition, and its performance on the test set is excellent, while the model is
- lightweight enough for embedded device deployment. Therefore, we choose LPRNet as the base
- framework to ensure the balance between high recognition accuracy and low computational
- 186 resource consumption.
- 187 LPRNet is a deep learning network model specially designed for license plate recognition, which
- is a very efficient neural network that requires only 0.34 GFLOPs for a single forward
- propagation. LPRNet does not require pre-segmentation of characters, and is characterized by
- 190 high accuracy, real-time performance, and support for variable-length character license plate
- 191 recognition. For license plates from different countries with large character differences, LPRNet
- is capable of end-to-end training. Moreover, as the first real-time lightweight license plate
- 193 recognition algorithm that does not use RNN, LPRNet can run on various devices, including
- 194 embedded devices.
- 195 The backbone network of LPRNet takes the original RGB image as input, extracts image
- features using a convolutional neural network (CNN), and replaces the RNN neural network with
- a context-bound 1\*13 convolutional kernel, the structure of which is shown in Fig. 1. In addition,

- 198 global context embedding is added to the intermediate feature mapping of the classifier to further
- improve the expressive power of the neural network. Similar to RNN, LPRNet uses CTC Loss
- 200 for end-to-end training because the output coding of the network is not equal to the length of the
- 201 license plate characters.
- 202 In the field of license plate recognition, the LPRNet model stands out with its relatively high
- accuracy and lightweight design, and has become one of the most widely used models in the
- 204 field. Its efficient computational performance and low resource consumption have led to its
- successful deployment in many embedded devices. In view of this, LPRNet is chosen as the base
- 206 model in this study, aiming to further improve its recognition accuracy through in-depth
- 207 optimization of its training process.

# 208209 Methods

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#### Graphically supervised signals

- In the context of big data, more and more research is looking at how to effectively utilize
- supervised signals. Many studies on Self-supervised Learning have emerged in recent years. In
- 213 the field of machine vision, many studies focus on mining supervised signals from the data itself.
- among which the more typical one is self-supervised learning. For example, He et al. (2022)
- 215 proposed the MoCo algorithm, which performs unsupervised learning by constructing a dynamic
- 216 dictionary in the form of contrast learning. Chen et al. (2020) proposed the SimCLR algorithm,
- 217 which improves the effect of contrast learning by data augmentation with the construction of
- 218 larger batches. Chen and He (2021) further elaborated the self-supervised algorithm, which
- solves the problem of gradient collapse by using gradient stopping. He et al. (2022) again re-
- explored the supervised signals in the image by first randomly masking the image and then
- 221 predicting the masked portion from the unmasked portion. In the field of natural language
- processing (Devlin et al., 2018; Radford et al., 2018), although not using the idea of self-
- supervised learning, it is similar to self-supervised learning, i.e., the model is first trained by a
- 224 large-scale pre-training task, which allows the model to acquire a certain amount of learning
- 225 ability in advance by pre-training on an unsupervised, large-scale textual dataset, before fine-
- 226 tuning it on a specific task.
- 227 The license plate recognition task has some special characteristics. Firstly, the resolution of
- 228 license plate images is small, and small resolution license plate images cannot adapt to most of
- 229 the auxiliary tasks, which makes self-supervised learning difficult to realize in license plate
- 230 images; secondly, the signal-to-noise ratio of license plate images in complex scenes is lower
- compared to that of conventional images, and conventional auxiliary tasks may make the model
- 232 learn more noise, which in turn reduces the performance of the model.
- 233 The research in this paper is inspired by self-supervised learning, hoping to mine some additional
- valuable information in the existing dataset and use this additional information to assist the
- training of the model, in order to improve the model's performance at the time of testing.
- However, the research in this paper is not exactly the same as self-supervised learning, and the

- 237 difference between the research in this paper and self-supervised learning is specifically
- 238 manifested in the following two aspects:
- 239 1. the supervisory information of self-supervised learning comes from data, while the
- 240 supervisory information of this study comes from labels.
- 241 2. self-supervised learning mostly uses the training paradigm of unsupervised pre-training-
- supervised fine-tuning, while this study merges the pre-training process with fine-tuning, and
- 243 performs pre-training and fine-tuning at the same time in the form of dual training branches to
- 244 ensure the effectiveness of the model.
- 245 In this paper, we hope to mine new supervisory signals using known information for better
- results in the license plate recognition task. In traditional deep learning-based license plate
- 247 recognition research, supervised learning is usually performed. Taking LPRNet as an example, it
- 248 takes RGB images as the input of the neural network, fixed-length feature sequences as the
- output of the neural network, and variable-length text sequences as the labels for neural network
- 250 training. Through the coordination of CTC Loss, the output of the fixed-length feature sequence
- and the label of the variable-length text sequence are matched, and back propagation is
- 252 completed to update the parameters of the neural network. At this time, the supervisory signal of
- 253 the neural network comes from the text sequence labels, and the updating of the parameters of
- 254 the neural network depends entirely on the backpropagation of the CTC Loss.
- 255 The conventional use of text sequences for labeling can be regarded as a semantic-level
- supervisory signal, which guides the model to understand the semantics in the image as much as
- 257 possible. In this paper, we hope to find a method that can assist the model in learning the
- semantic features better and thus improve the correctness as well as the robustness of the model.
- 259 This paper mines the graphical supervisory signals carried in the text label to enhance the
- 260 learning effect of the neural network. That is, the graphical features carried in the text
- 261 information are used as another supervisory signal to assist the neural network to better learn the
- semantic features of the image and achieve better classification results.
- 263 For license plate recognition or similar text recognition problems, we find that the text label
- 264 contains graphical features. Specifically, the graphical features in text label come from "fonts".
- 265 Fonts can transform text signals into specific graphical features, so the text information can be
- 266 constructed into an image through fonts, and through the constructed image, the neural network
- 267 can achieve better learning results.
- 268 In the conventional license plate recognition problem, string labels are often mapped to indexes
- 269 through word lists, participating in the training of neural networks in the form of ONE HOT
- encoding, at which time the supervised signals are supervised signals from the semantic level.
- 271 And through the font, the string can be constructed as an image; the graphical features of the
- 272 character are completely reflected in the image label, so the supervised signal at this time can be
- 273 regarded as the supervised signal from the graphical level, as shown in Fig. 2.
- 274 The image label of license plate is constructed precisely through fonts, and graphical supervisory
- signals are introduced in an attempt to obtain supervisory signals in fonts with respect to the
- 276 graphical features of the text. As shown in Fig. 3, in constructing the image label, we spatially

- aligns the real training image with the image label. The goal is to make the location of the license plate pixels in the image label as close as possible to the location of the license plate pixels in the original map.
- 280 The input to the spatial alignment algorithm is the average image of the training set, and the
- output is the alignment boundary. The training set is first traversed to find the average image of
- all license plates, using the average map as an abstract representation of the entire training set.
- 283 Subsequently, the average map is processed to remove irrelevant information from the image by
- grayscaling and binarization. Next, the image is projected, respectively, on the horizontal axis
- and the vertical axis of the two directions. The two projection curves, respectively, set the
- threshold values of the two directions, and two threshold straight lines can be obtained. Through
- 287 the threshold straight line and the intersection of the projection curve, you can determine the
- 288 spatial alignment of the boundary. Then determine the alignment boundary. You can generate the
- same size with the boundary of the characters, which will be placed in the image in accordance
- 290 with the alignment boundary.

#### **Auxiliary training**

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#### 292 Upsampling decoder

- 293 How to effectively utilize graphically supervised signals is a central issue in the task flow. This
- paper draws on the ideas in autoencoder (Michelucci, 2022). Autoencoder belong to
- 295 unsupervised learning, which predicts the input data through the output data so that the output is
- as similar as possible to the input, and the purpose of autoencoder is generally to compress the
- 297 input data by using the hidden layer. Autoencoder put the supervised signals they carry to good
- 298 use by predicting the input. For the problem of how to utilize the graphical supervisory signal,
- 299 this paper refers to the idea of a autoencoder. The difference is that the autoencoder predicts the
- input, while this paper predicts the image label.
- 301 In order to effectively utilize the image label as a supervised signal for training, we design an
- 302 upsampling decoder in the feature output layer of the original neural network. The construction
- 303 of the upsampling decoder is accomplished using only a simple three-layer transposed
- 304 convolution and a projected convolution. The input to the upsampling decoder is the feature map
- 305 output from the LPRNet backbone, which is used as the input to both the text sequence classifier
- and the upsampling decoder. The location of the upsampling decoder and its structure are shown
- in Fig. 4. After processing by the upsampling decoder, the feature map is sampled to the same
- size as the image label. Subsequently, the output of the upsampling decoder is made as close as
- 309 possible to the image label by MSE Loss.
- 310 MSE Loss in upsampling decoder can be expressed as:

311 
$$L_{\text{mse}} = \frac{1}{n \times h \times w} \sum_{i=1}^{h} \sum_{j=1}^{w} (y_{i,j} - \hat{y}_{ij})^2$$
 (3)

- Where, n denotes the number of license plate samples, h and w respectively denotes the height
- and width of the image label, y denote the outputs of upsampling decoder, y denote image label.
- The closer the upsampling decoder output is to the image label, the smaller the loss.

#### 315 Algorithmic process

- 316 The current conventional license plate recognition process is to input the RGB image of the
- 317 license plate, get the output of the category sequence through the deep learning model, and then
- 318 match the category sequence with the label sequence through CTC Loss and calculate the loss.
- 319 The difference in the algorithm flow in this paper is that a new auxiliary training branch is
- designed, and the auxiliary training branch is used to receive graphic supervised signals. The
- 321 training process of the model consists of the auxiliary training branch and the regular training
- branch, and the training process is shown in Fig. 5. The models in the regular training branch and
- 323 the auxiliary training branch are slightly different, and this paper calls the model in the regular
- 324 training branch the regular model and the model in the auxiliary training branch the auxiliary
- 325 model. The inputs of the regular model and the auxiliary model are exactly the same, and the
- backbone part of the model is weight-sharing, which means that the same backbone completes
- 327 the two training tasks of the two training branches at the same time, i.e., the two training
- 328 branches are training the same backbone. The difference between the regular model and the
- auxiliary model is that the regular model inputs the output of the backbone directly into the
- 330 classifier to get the category sequence, while the auxiliary model inputs the input out of the
- backbone into the upsampling decoder, which decodes the feature map output from the backbone
- into an image of the same size as the image label to receive the graphical supervisory signals.
- During model training, the two training branches alternate. That is, for a certain batch of data in
- the training set, the model weights are first updated through the regular training branch, and then
- using the same data, the model weights are updated through the auxiliary training branch. When
- both branches have finished updating the weights, the next batch of data is obtained, and the
- above process is repeated. In the testing phase, only the regular training branch is retained, and
- 338 the auxiliary training branch is no longer needed. Therefore, no additional computation is added
- 339 to the model in the testing phase.
- 340 In order to prove that the algorithmic process effectively utilizes the graphically supervised
- 341 signals, using  $\theta$  to denote the neural network weights, x to denote the image input,  $y_{ctc}$  to
- denote the sequence labels,  $y_{mse}$  to denote the image labels (graphically supervised signals), and
- 343  $f_{\theta}(x)$  to denote the neural network model, the loss function can be expressed as:

$$L_{mse}(\theta)$$

344 = MSE Loss ( $f_{\theta}(x), y_{mse}$ )

$$L_{\rm ctc}(\theta)$$

345  $= CTC Loss(f_{\theta}(x), y_{ctc})$  (5)

Using  $\alpha$  to denote the learning rate, the weight update formula can be expressed as:

347 
$$= \theta_{\text{mse t}-1} - \alpha \nabla L_{\text{mse}} (\theta)$$
 (6)

348 
$$\stackrel{\theta}{=} \stackrel{\text{ctc t}}{\theta}_{\text{ctc t-l}} - \alpha \nabla L_{\text{ctc}}(\theta)$$
 (7)

- 349 In the auxiliary training process, the regular training branch and the auxiliary training branch are
- 350 carried out alternately, and the weights of the model are shared, so  $\theta_{\text{ctc t-1}} = \theta_{\text{mse t}}$ , then:

351 
$$\stackrel{\theta \text{ ctc t}}{= (\theta \text{ mse t-1} - \alpha \nabla L_{\text{mse}}(\theta)) - \alpha \nabla L_{\text{ctc}}(\theta) }$$
 (8)

352 
$$= \theta_{\text{mse t-1}}^{\text{ctc t}} - (\alpha \nabla L_{\text{mse}}(\theta) + \alpha \nabla L_{\text{ctc}}(\theta))$$
 (9)

- 353 Since the prediction phase relies on the regular training branch of the model,  $\theta_{\rm ctc}$  is used to
- represent the final result. According to formula (9), the parameters are updated not only on the
- 355 gradient returned by CTC Loss but also on the gradient returned by MSE Loss. Therefore, it can
- be proved that the graphical supervision signal is effectively applied to the weight update of the
- 357 conventional model.

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#### **Experiments and Discussions**

#### Training dataset and evaluation dataset

- 360 In this paper, we use the CCPD dataset for training, which is a large, diverse, and carefully
- labeled open-source dataset of Chinese urban license plates (Xu et al., 2018). Data is available at
- 362 https://github.com/detectRecog/CCPD. After opening the link, find CCPD (Chinese City Parking
- Dataset, ECCV), which can be downloaded directly through the Google Drive link. In order to
- 364 conform to the license plate recognition problem studied in this paper, the dataset is processed in
- this paper. The target region of the license plate is cropped by the corner points of the license
- plate labeled by the CCPD dataset and corrected by an affine transformation.
- Part of the license plate data is shown in Fig. 6. The CCPD dataset provides 100,000 images as a
- training set and 99,996 images as a validation set for the conventional scenario, and part of the
- images of the training set and validation set are shown in Fig. 6 (a).
- 370 In order to evaluate the recognition ability of the model in various extreme situations, the
- experiments use four important test sets of extreme situations in CCPD, which are Challenge,
- Blur, DB, and FN. Challenge is used to test the performance of the model in the case of
- 373 challenging license plate images; Blur is used to test the performance of the model in the case of
- 374 blurred license plate images; DB is used to test the model's performance in the case of too low or
- too high illumination; and FN is used to test the model's performance in the case of low
- 376 resolution. Some of the images of the four test sets are shown in Fig. 6 (b). In addition, the
- 377 CCPD dataset also provides a comprehensive test set, which is a comprehensive sampling of
- 378 several extreme cases, and the images in this part are corrected by affine transformation.
- 379 In addition, in order to evaluate the spatial adaptation ability of the model, the experiments were
- evaluated using the Rotate and Tilt test sets in CCPD without affine transformation. As shown in
- Fig. 6 (c), rotate and Tilt test sets in CCPD with the test set without affine transformation. As
- shown in Fig. 6 (c), Rotate is used to test the performance of the license plate in the case of
- rotation; the license plate in Tilt is used to test the performance of the license plate in the case of
- 384 extreme angles; and finally, the experiment is evaluated on the test set without affine
- transformation correction, which is used to evaluate the performance of the model without the
- 386 use of corrective transformations.

#### Training parameter settings

- 388 The experiments were conducted using the processed CCPD training set with the training
- parameters shown in Table 1.
- 390 Assessment of indicators

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- 391 The evaluation of the license plate recognition task should not only refer to whether the model is
- 392 correct in recognizing characters, but also whether the model is correct in recognizing the
- 393 number of characters. Therefore, TN1 is defined as the number of samples in which the number
- of characters is recognized incorrectly, TN2 is defined as the number of samples in which the
- 395 characters are recognized incorrectly, and TP is defined as the number of samples in which the
- 396 number of characters is recognized correctly and the characters are recognized correctly. The
- 397 evaluation indexes are introduced: Length Consistency Rate (LCR) and Accuracy. The
- 398 calculation formula is shown in Equations (10) and (11):

399 
$$LCR = \frac{TN2 + TP}{TN1 + TN2 + TP}$$
 (10)

Accuracy = 
$$\frac{TP}{TN1 + TN2 + TP}$$
 (11)

- 401 The indicator LCR can reflect the accuracy of the number of characters recognized; the closer to
- 402 1 means that the number of characters recognized accurately, the greater the number of
- 403 characters; the indicator Accuracy can reflect the number of characters and the accuracy of
- 404 character recognition at the same time.

#### **Experimental results and visualization**

- 406 The LPRNet method based on graphically supervised signal-assisted training proposed in this
- paper is used to do side-by-side comparison experiments with models such as AlexNet
- 408 (Krizhevsky Sutskever and Hinton, 2017), ResNet (He, et al., 2016), LPRNet, CRNN, and the
- 409 experimental parameters are shown in Table 1. The accuracy of each model is evaluated on the
- 410 validation set with different test sets, and the results are shown in Table 2. The code related to
- 411 the experiments in this paper is publicly available and can be accessed via the following GitHub
- 412 link: https://github.com/GENERjia/License-Plate-Recognition-Based-on-Graphically-
- 413 Supervised-Signal-Assisted-Training. Or download it via the DOI
- 414 link:https://doi.org/10.0.20.161/zenodo.14201550.
- In order to compare the performance of different models, the computational volume, number of
- parameters, FPS, and average accuracy of different models are counted, as shown in Table 3.
- From Table 3, it can be seen that the proposed algorithm achieves the highest accuracy with the
- 418 lowest number of parameters and computations. It proves the effectiveness of the proposed
- 419 algorithm. The computational amount, number of parameters, and average accuracy of each
- 420 model in Table 3 are visualized as shown in Fig. 7.
- 421 The comprehensive comparison of proposed algorithm with other common license plate
- 422 recognition algorithms is shown in Fig. 8. Fig. 8(a) shows the comparison of the computational
- volume and accuracy between this paper and several common models; the x-axis indicates the
- 424 computational volume of the model; the size of the diameter of the dots indicates the number of

- 425 model parameters; and the y-axis indicates the average accuracy in the test set. Fig. 8(b) shows
- the comparison of the accuracy of several common models on different test sets.
- The experiments were recorded for the metrics during the training of CRNN, LPRNet, ResNet,
- 428 and AlexNet, as shown in Fig. 9.
- The results show that LPRNet is much higher than other models in both accuracy and
- 430 convergence speed.
- 431 The experiment uses LPRNet as a Baseline and introduces graphically supervised signals on the
- basis of LPRNet. The experiment records the metrics during the training process of the LPRNet
- 433 model and proposed algorithm, as shown in Fig. 10.
- The results show that the accuracy of proposed algorithm is slightly higher than that of the
- original model on the validation set, and higher accuracies are obtained on all test sets, which
- proves that proposed algorithm can show good recognition results. In terms of the convergence
- speed and loss of the model, proposed algorithm is similar to the original model, i.e., this paper's
- 438 algorithm does not need more iterations for optimization while improving the accuracy.
- In order to more intuitively reflect the improved effect of the algorithm, different license plates
- from the experimental findings will be shown in the experiment. The results are shown in Fig. 11.
- 441 For low light, blur, low resolution, angular offset, motion offset, etc., proposed algorithm can get
- 442 more and more accurate detection results.
- In order to further analyze the results, the model output can be visualized, as shown in Fig. 12(a).
- 444 It is the classification output of LPRNet, and proposed algorithm is more accurate for the
- 445 identification of blank characters and can distinguish between characters and the interval
- between them; Fig. 12(b) is the prediction output of proposed algorithm for the image label. The
- prediction output of the image label can basically realize the extraction of effective information
- 448 from the image, and the prediction of the image label can basically react to the classification
- 449 output situation.
- 450 In order to study the recognition of each character in the dataset, we conducted ablation
- experiments to better validate the effectiveness of proposed algorithm. And because of the
- 452 uneven balance of the province character categories in the dataset, the experiments were only
- 453 conducted on the recognition of numeric characters and alphabetic characters, as shown in Table
- 454 4.
- Visualize the increase of Precision, Recall, and F1 Score indicators for each character using the
- 456 proposed algorithm in the form of a bar chart, and analyze the increase using a histogram, as
- shown in Fig. 13. For the recognition of numeric characters, proposed algorithm not only
- enhances the precision rate of character recognition but also improves the recall rate, enabling it
- 459 to recognize the characters that the original algorithm can not. As for the alphabetic characters.
- 460 while proposed algorithm reduces the precision rate of the recognition of some characters, it can
- 461 greatly improve the recall rate of character recognition so that the F1 Score can be improved.
- 462 For LPRNet and proposed algorithm, experiments were performed to visualize Grad-CAM
- 463 (Selvaraju et al., 2017) on both models as shown in Fig. 14 in order to observe the regions of
- 464 interest on the pictures for each category.

- By observing the visualization results, it can be found that proposed algorithm can pay better
- attention to the correct position of each character without focusing too much on the other regions,
- which is especially obvious in the model's understanding of the "-" character, and proposed
- 468 algorithm can better capture the segmentation and positioning relationship between characters.
- In order to evaluate the spatial adaptation ability of the model, the experiments are conducted to
- evaluate LPRNet and proposed algorithm in the Rotate, Tilt test set, and the test set without
- affine transformation respectively, and the results are shown in Table 5.
- 472 The results show that in the case of large angle change, both LPRNet and proposed algorithm
- 473 can not achieve better results, and the effect of proposed algorithm is slightly lower than that of
- 474 LPRNet. While in the case of no affine transformation correction, i.e., test set, proposed
- 475 algorithm is slightly higher than that of LPRNet, which indicates that this paper's algorithm still
- achieves better results in the case of small angle change.

#### Conclusion

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- 478 This paper presents a study on license plate recognition based on graphically supervised signal-
- assisted training and fully demonstrates the effectiveness and robustness of the algorithm. The
- biggest advantage of the proposed algorithm is that it can improve the model effect without
- 481 modifying the neural network structure and increasing the extra computation. The average
- accuracy in complex cases is improved by 5.86%, Length Consistency Rate is improved by 12%,
- 483 the average precision of characters is improved by 2.1%, and the average recall is improved by
- 484 6.9%. This shows that the research in this paper is able to improve the character recognition rate
- 485 sufficiently to recognize the characters that were not recognized. The cost of improving the
- 486 effect is that it needs to mine the graphical supervisory signals in the training data, and it also
- 487 increases the training time of the model and the memory occupation of training. The training
- 488 process proposed in this study is applicable to the vast majority of convolutional neural networks.
- 489 The next step of this research can be applied to other image recognition tasks by introducing
- 490 graphical supervisory signals, and even extended to non-textual image classification tasks. The
- 491 key to exploring the problem lies in the method of mining graphical supervisory signals in
- 492 regular non-textual images.

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Table 1(on next page)

Neural network training parameters

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 Table 1. Neural network training parameters.

Parameter	Value		
Epoch	10		
Image size	(94, 24)		
Batch size	256		
Learning rate	1.00E-03		
optimizer	Adam		
Weight decay	2.00E-05		
Dropout rate	0.5		

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### Table 2(on next page)

Comparison of the accuracy of scene license plate recognition models on each CCPD test set

**Table 2.** Comparison of the accuracy of scene license plate recognition models on each CCPD test set.

DataSet	Metrics	CRNN	LPRNet	AlexNet	ResNet-	Ours (Compared
		[6]	[7]	[33]	18[34]	to LPRNet)
val	LCR	0.9981	0.9992	0.9954	0.9959	<b>0.9996</b> (+0.0004)
	Accuracy	0.9903	0.9964	0.9861	0.9845	<b>0.9972</b> (+0.0008)
toat	LCR	0.7212	0.7278	0.6503	0.8629	0.8538 (+0.1259)
test	Accuracy	0.4715	0.5739	0.4508	0.5523	<b>0.6370</b> (+0.0631)
blur	LCR	0.6446	0.5219	0.4365	0.8473	0.7954 (+0.2735)
	Accuracy	0.3386	0.3468	0.2626	0.4580	0.4558 (+0.1090)
challenge	LCR	0.7640	0.7089	0.6697	0.8880	0.8461 (+0.1371)
	Accuracy	0.4921	0.5386	0.4602	0.5865	<b>0.6022</b> (+0.0637)
	LCR	0.5764	0.5473	0.4252	0.7700	<b>0.7769</b> (+0.2296)
db	Accuracy	0.3291	0.4053	0.2782	0.3861	<b>0.5144</b> (+0.1091)
fn	LCR	0.7548	0.7755	0.7199	0.8609	<b>0.8681</b> (+0.0927)
	Accuracy	0.5320	0.6461	0.5166	0.5904	<b>0.6963</b> (+0.0501)
rotate	LCR	0.7835	0.8861	0.8242	0.9121	<b>0.9190</b> (+0.0329)
	Accuracy	0.6419	0.8236	0.6792	0.7142	<b>0.8413</b> (+0.0177)
tilt	LCR	0.7067	0.8081	0.7329	0.8482	<b>0.8939</b> (+0.0857)
	Accuracy	0.4768	0.6916	0.4995	0.5351	<b>0.7470</b> (+0.0554)
Average	LCR	0.7437	0.7468	0.6818	0.8732	0.8691 (+0.1222)
	Accuracy	0.5340	0.6278	0.5166	0.6009	<b>0.6864</b> (+0.0586)

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### Table 3(on next page)

Comparison of FLOPs, number of parameters, FPS on CPU and average accuracy among models

**Table 3.** Comparison of FLOPs, number of parameters, FPS on CPU and average accuracy among models.

Models	FLOPs	Params	FPS	Accuracy
CRNN[6]	0.95 G	7.3 M	92.30	0.5340
LPRNet[7]	0.29 G	0.4 M	93.24	0.6278
AlexNet[33]	0.63 G	3.8 M	90.03	0.5166
ResNet-18[34]	1.09 G	11.2 M	76.39	0.6009
Ours	0.29 G	0.4 M	93.24	0.6864

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Table 4(on next page)

Recognition of numeric and alphabetic characters

Table 4. Recognition of numeric and alphabetic characters.

	LPRNet[7]			Ours		
Char	Precision	Recall	F1-score	Precision	Recall	F1-score
0	0.814	0.743	0.777	0.87	0.819	0.844
1	0.795	0.771	0.783	0.837	0.87	0.853
2	0.817	0.782	0.799	0.871	0.886	0.879
3	0.858	0.779	0.817	0.913	0.852	0.882
4	0.796	0.775	0.785	0.82	0.862	0.841
5	0.803	0.784	0.794	0.877	0.87	0.874
6	0.855	0.766	0.808	0.903	0.858	0.88
7	0.828	0.768	0.797	0.894	0.86	0.877
8	0.839	0.765	0.8	0.893	0.832	0.861
9	0.819	0.797	0.808	0.902	0.874	0.888
A	0.947	0.955	0.951	0.92	0.971	0.945
В	0.919	0.679	0.781	0.904	0.74	0.814
C	0.893	0.795	0.841	0.875	0.875	0.875
D	0.9	0.639	0.747	0.855	0.72	0.781
Е	0.889	0.771	0.826	0.907	0.817	0.86
F	0.871	0.763	0.813	0.876	0.858	0.867
G	0.863	0.743	0.799	0.905	0.78	0.838
Н	0.926	0.701	0.798	0.932	0.773	0.845
J	0.87	0.782	0.824	0.852	0.873	0.862
K	0.915	0.733	0.814	0.909	0.822	0.863
L	0.926	0.686	0.788	0.907	0.814	0.858
M	0.905	0.764	0.828	0.904	0.847	0.874
N	0.89	0.717	0.794	0.897	0.801	0.847
P	0.904	0.775	0.835	0.935	0.833	0.881
Q	0.808	0.814	0.811	0.811	0.831	0.821
R	0.909	0.757	0.826	0.944	0.8	0.866
S	0.801	0.78	0.791	0.884	0.806	0.843
T	0.875	0.711	0.785	0.895	0.747	0.814
U	0.851	0.708	0.773	0.831	0.795	0.813
V	0.813	0.768	0.79	0.849	0.811	0.83
W	0.718	0.801	0.757	0.786	0.86	0.822
X	0.869	0.782	0.823	0.863	0.861	0.862
Y	0.858	0.791	0.823	0.842	0.856	0.849
Z	0.889	0.731	0.803	0.901	0.764	0.826
Average	0.860	0.761	0.806	0.881	0.830	0.854

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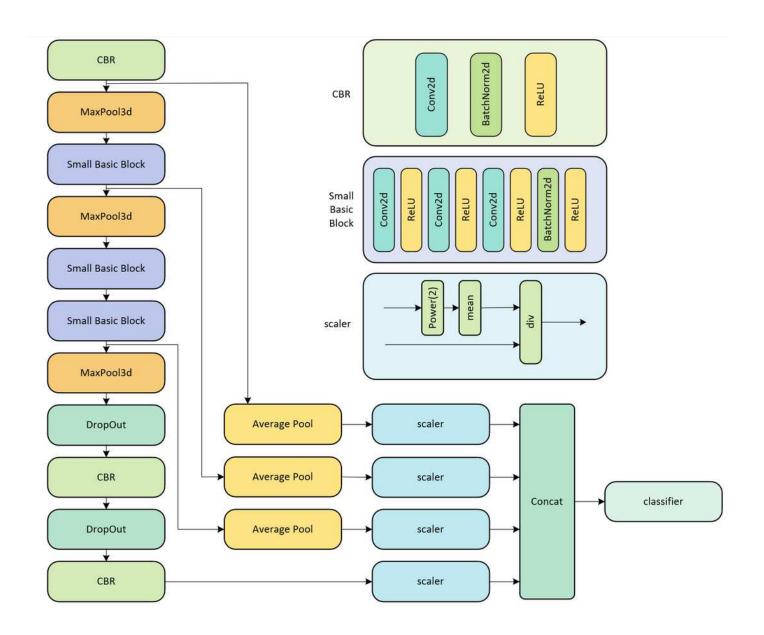
### Table 5(on next page)

Accuracy of the model when the image undergoes a change in spatial angle

#### Table 5. Accuracy of the model when the image undergoes a change in spatial angle.

DataSet (no Affine)	LPRNet[7]	Ours	increase
rotate	0.0017	0.0006	-0.11%
tilt	0.0020	0.0010	-0.10%
test	0.1946	0.2110	1.64%

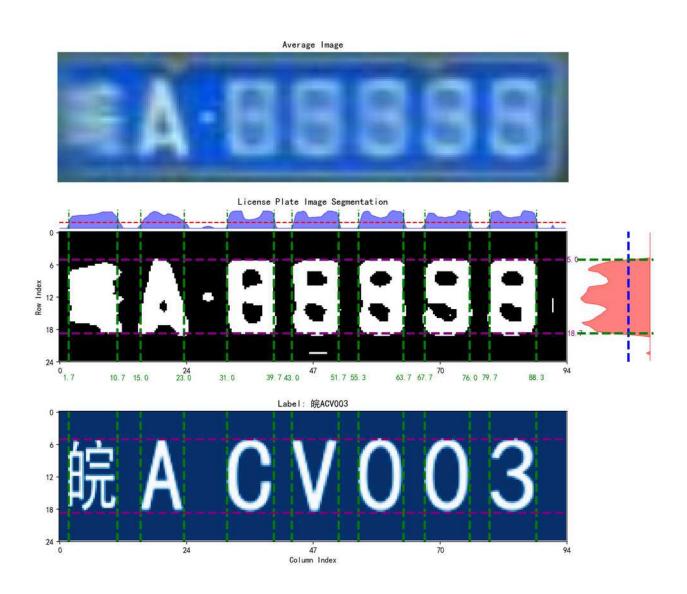
LPRNet network structure diagram



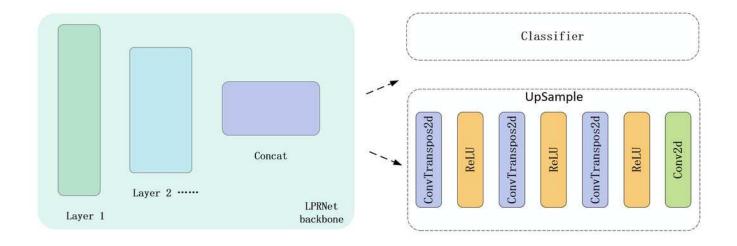
Two representations of string labels

"皖ACV003" → [52 10 12 31 0 0 3]
"皖ACV003" → 皖A CV003

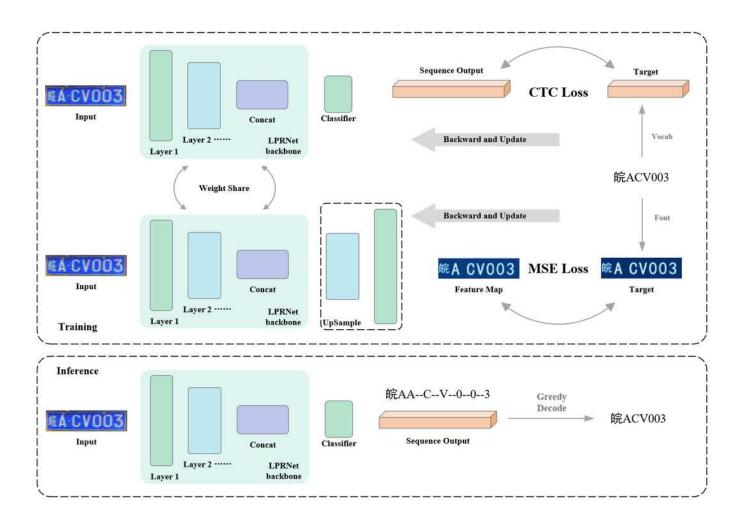
Spatial alignment of image label



Position of the upsampling decoder and schematic of its structure



Flow of algorithm for graphical supervised signal-assisted training

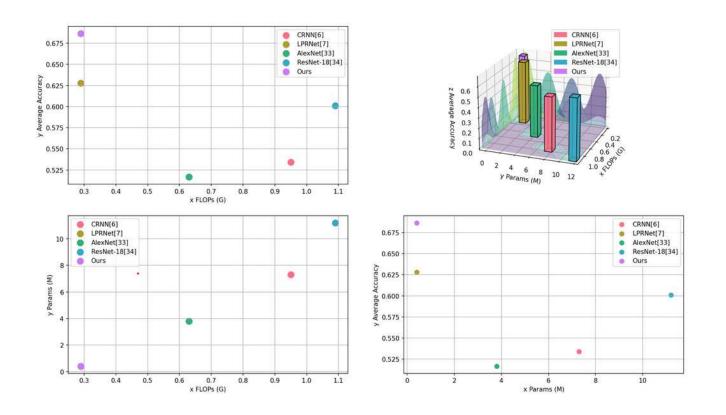


#### Example of CCPD dataset

(a) Train set and Validation set; (b) Challenge set, Blur set, DB set, FN set; (c) Rotate set, Tilt set, No Affine Transformation set

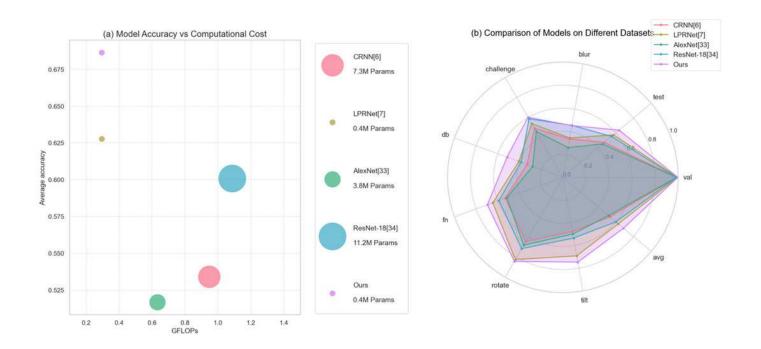


#### Visualization of models comparison

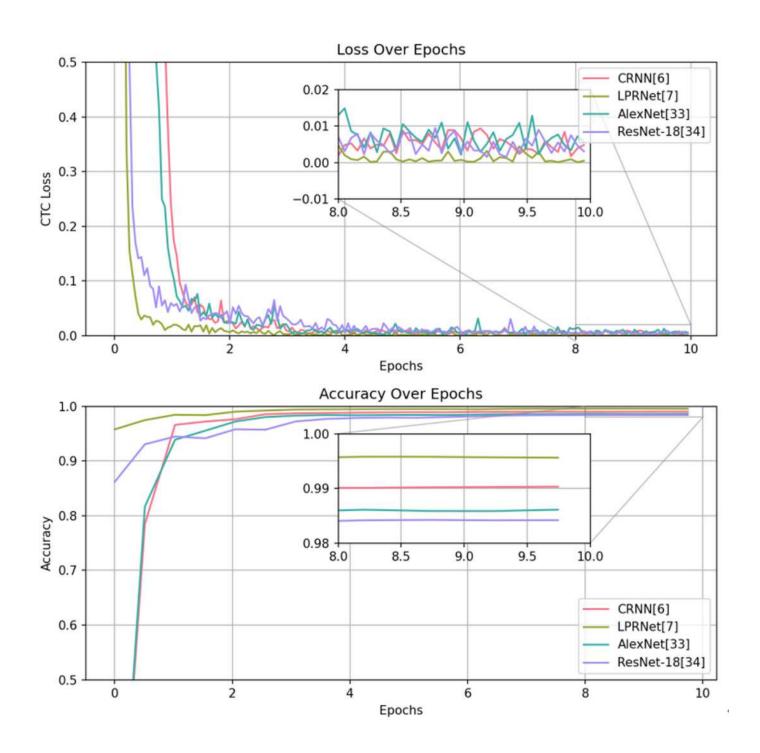


Comparison of this paper's algorithm with other common algorithms

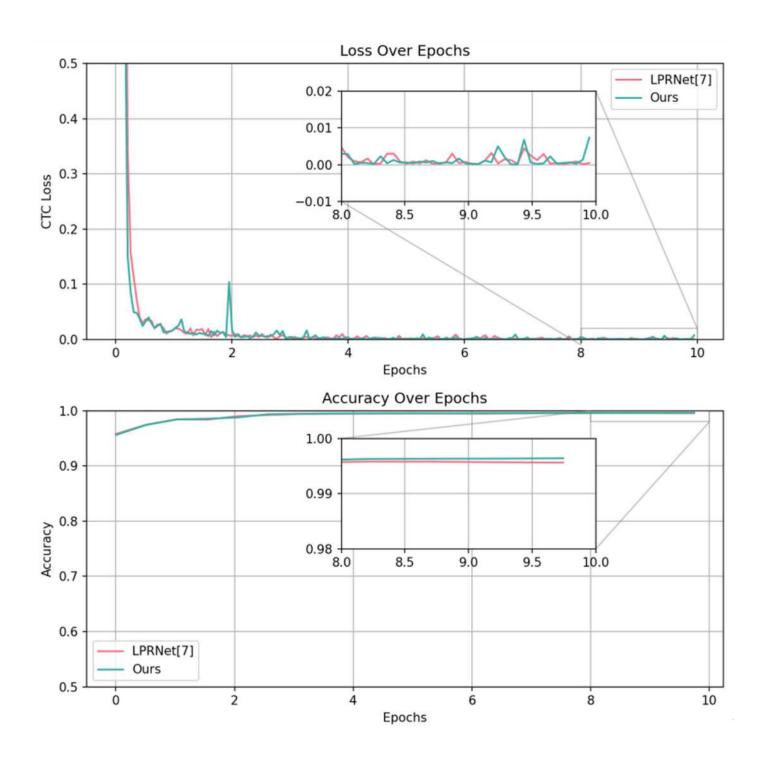
(a) Model Accuracy vs. Computational Cost; (b) Comparison of Models on Different Datasets



Comparison of Losses and Accuracy in the Validation Set of the Training Process Model



Comparison of accuracy and loss between LPRNet and LPRNet validation set based on graphically supervised signal-assisted training during the training process



Recognition effect of different models on license plate in different cases



Target: 皖AT9H01 LPRNet[7]: 皖 Ours: 皖A19HQA



Target: 皖A2Y515 LPRNet[7]: 皖A5 Ours: 皖A2Y518



Target: 皖NR6062 LPRNet[7]: 皖062 Ours: 皖AR6062



Target: 皖AH8W03 LPRNet[7]: 皖A8W Ours: 皖AN8W05



Target: 冀F0U809 LPRNet[7]: 冀FU09 Ours: 冀F0U809



Target: 皖AUY020 LPRNet[7]: 皖AY0Q 0urs: 皖AUY02Q



Target: 皖ALL259 LPRNet[7]: 皖A259 Ours: 皖ALL259



Target: 皖AMJ905 LPRNet[7]: 皖AM9 Ours: 皖AMJ960



Target: 皖AZOJ87 LPRNet[7]: 皖A87 Ours: 皖AZOJ87



Target: 皖AM098Y LPRNet[7]: 皖A98Y Ours: 皖AM098Y



Target: 皖FR8382 LPRNet[7]: 皖R832 Ours: 皖FR8382



Target: 皖ARJ101 LPRNet[7]: 皖101 Ours: 皖ARJ101



Target: 皖AH933A LPRNet[7]: 皖A9A Ours: 皖AH935A



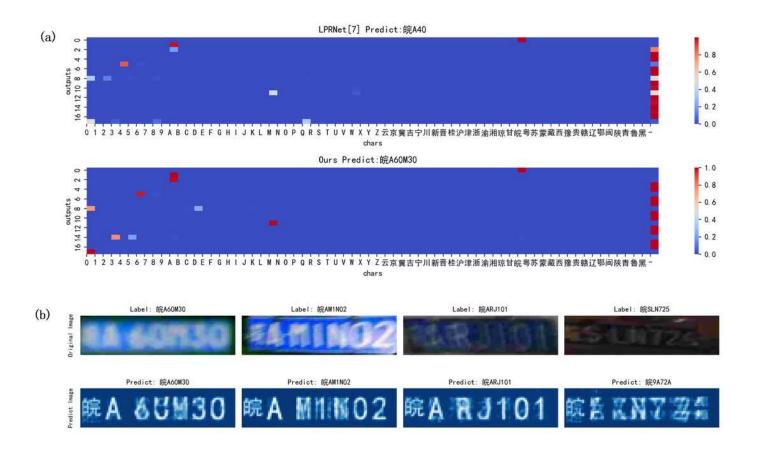
Target: 皖A60M30 LPRNet[7]: 皖A40 Ours: 皖A60M30



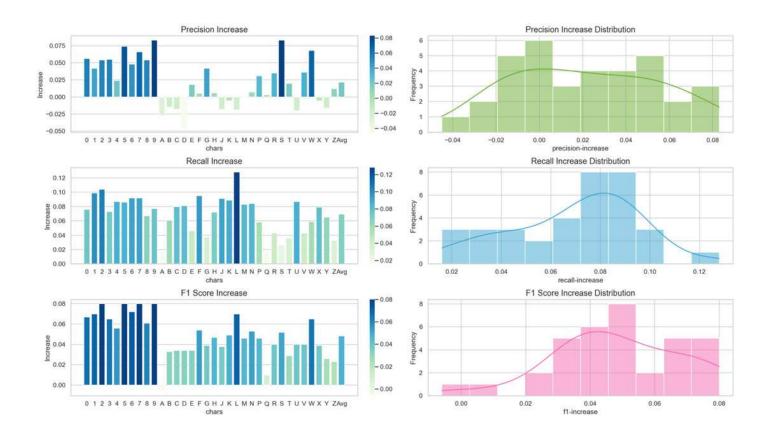
Target: 皖AXN805 LPRNet[7]: 皖AN5 Ours: 皖AXN8U5

#### Model output results

(a) Classification output; (b) Prediction output of proposed algorithm for the image label



Increase in Precision, Recall, and F1 Score metrics for each character



Comparison of Grad-CAM visualization for different models

